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PROHIBITED PRACTICES

SPECTRA GLOBAL LTD

AN INVESTMENT DEALER (FULL-SERVICE DEALER EXCLUDING UNDERWRITING) LICENCE AND GLOBAL BUSINESS COMPANY LICENSED BY THE FSC

Prohibited Trading Practices

To uphold a fair, secure, and transparent trading environment, Spectra Global Ltd. enforces a zero-tolerance policy against any form of unethical or manipulative trading behavior. These practices can compromise market integrity, exploit platform vulnerabilities, and negatively impact the trading experience for other participants.

By using the platform, clients acknowledge and agree to comply with the trading standards outlined below. Any breach of these guidelines may result in corrective measures, including account restrictions, suspension, or termination.

1. Scalping

Scalping is defined as the repetitive opening and closing of trades within extremely short timeframes—typically within seconds or minutes—solely to exploit minor price fluctuations. While legitimate trading strategies rely on market trends and risk management, scalping involves excessive, short-duration trades that can destabilize market operations and create unfair trading conditions.
Spectra Global prohibits such practices in order to maintain a level playing field for all users.

2. Latency Arbitrage

Latency arbitrage involves taking advantage of timing discrepancies between price feeds or trade executions across platforms.
This practice uses delays or lags in data transmission to generate unfair profits, undermining the platform's commitment to equal access and fairness.
As such, all forms of latency arbitrage are strictly forbidden to protect the platform's integrity and ensure equitable conditions for all traders.

3. Platform Manipulation

Any attempt to interfere with or exploit the functionality of the trading system constitutes platform manipulation. This includes, but is not limited to:

- Submitting a high volume of orders intended to overload system infrastructure
- Using unauthorized scripts or automated tools that interfere with platform processes
- Exploiting technical errors or system bugs to gain an unfair advantage

Such activities pose a threat to platform stability and are grounds for immediate disciplinary action.

4. Trading Conduct and Integrity

Clients are expected to maintain honesty and independence in their trading behavior. Spectra Global prohibits any actions that compromise the integrity of the trading environment, including:

- Imitative or Coordinated Trading: Replicating or mirroring trading patterns seen in other accounts without an independent strategy or valid justification. Such activity may signal collusive or manipulative behavior and is strictly monitored.

5. Trading Activity Monitoring

Spectra Global actively monitors trading behavior for compliance with its ethical and operational standards.
Any suspicious patterns or behavior indicative of rule violations may trigger an investigation and a review of account activity.

6. Client Responsibilities

In the event of a potential policy violation, clients are expected to:

- Review and familiarize themselves with the applicable trading rules and ethical standards
- Cooperate fully with the Company during any investigation or clarification process

Failure to do so may result in account limitations or further regulatory action.

Clients are required to submit a comprehensive explanation or clarification regarding the flagged activity within five (5) business days of being notified.

7. Consequences of Violating Platform Policies

Should a client fail to respond within the specified timeframe or provide a response deemed inadequate, Spectra Global Ltd reserves the right to impose any of the following measures at its sole discretion:

- Temporarily or permanently restrict account access
- Withdraw or suspend trading privileges
- Confiscate any profits obtained through non-compliant or prohibited trading behavior

These actions are taken to protect market fairness and ensure the security of all platform participants.

8. Policy Revisions

Spectra Global Ltd maintains the right to amend, revise, or update its platform rules and trading policies at any time, in response to:

- Shifting market dynamics
- Regulatory developments
- The need to strengthen ethical and operational standards

It is the responsibility of each client to stay informed of the most recent version of these policies. Continued use of the platform implies acceptance of all updates and revisions.

9. Commitment to Fair Trading

Engaging in manipulative or unethical trading practices not only violates this policy but also harms the integrity of the trading environment and diminishes the experience of other users. Spectra Global takes such breaches seriously.

10. Acknowledgment and Consent

By participating on the Spectra Global Ltd trading platform, all clients acknowledge and agree to the terms of this policy and commit to refrain from any prohibited conduct. Accounts suspected of policy violations will be subject to thorough investigation.

If unethical trading behavior is confirmed, Spectra Global will take enforcement action in accordance with internal compliance protocols. This may include seizing any gains derived from improper conduct.

The Company also reserves the right to refine or expand policy definitions as necessary to stay aligned with emerging risks and ethical expectations in global markets.